312 861 8937 TO 517037464060-701 P.01

Attorney Docket No.: HAR-105 (previously 06965 001)

16 C 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Miguel Angel Fuenzalida DIAZ

et al

Title:

Pharmaceutical and Food

Compositions Containing "Wood Alcohols" or "Wood Sterols"

Useful for Lowing Serum

Cholesterol

Serial No:

09/922,532

Filing Date:

03 AUG 2001

) I hereby certify that this correspondence

) has been sent by **FACSIMILE**) to **703-746-4060**, addressed to the

Commissioner of Patents and Trademarks

Washington, DC, 20231, on <u>June 6, 2002</u>.

Sysan Leo Vanderwalker date_

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COMMUNICATION IN RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS

The Honorable Commissioner of Patents and Trademarks Washington, DC 20231

Dear Sir:

This Communication is in response to the Request for Substitute Papers indicated as having been mailed on March 25, 2002. The purpose of this Communication is to replace documents filed (i.e., received) in the U.S. Patent Office on January 22, 2002, which are no longer in a condition to become part of the permanent records due to the U.S. Postal Service sanitization process.

Attached is a copy of the March 25, 2002 Request for Substitute Papers along with true and correct copies of the papers received in the Patent Office on January 22, 2002 (which were mailed on December 14, 2001).

Applicant does not believe that any fees are due at this time for submission of this Communication. However, if Applicant's understanding is incorrect, you are hereby authorized to deduct the required fees from our Deposit Account, No. 02-0400 (Baker & McKenzie). When identifying such a withdrawal, please use our Attorney Docket No.: HAR-105.

June 6, 2002 by facsimile

BAKER & McKENZIE 130 E. Randolph Drive Chicago, IL 60601

ph: 312-861-8608 fax: 312-861-8937

Respectfully,

David I. Roche

Reg. No. 30,797



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office 1100 Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.шарто gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/922,532	08/03/2001	Diaz, Miguel	06965-1001

BAKER & McKENZIE

Title: Pharmaceutical and food compositions containing" wood alcohols" or" wood sterols" useful for lowering serum cholesterol

Request for C

The papers filed on 01/22/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

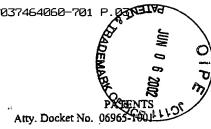
The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: David I, Roche Registration No.: 30, 797

Signature: David STal Date: 06 JUNE 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	Applicat	ion of:)	Group Art Unit:	To be assigned
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Serial	No.:	09/922,532)		믉
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BOX MISSING PARTS Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER And RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Dear Sir:

In response to the Notice to File Missing Parts, mailed September 14, 2001, enclosed please find the following.

- 1. Return copy of the Notice to File Missing Parts under 35 U.S.C. 371, mailed September 14, 2001;
- 2. Check in the amount of \$160.00 to cover the surcharge for late filing of the declaration, Assignment recording fee and extension fee;
- 3. Combined Declaration and Power of Attorney executed by the inventor;

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Mail Service as First Class Mail on the date shown below with sufficient postage in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit:

December /4, 2001

Signature:

Karen M. Cruz

587351vl



- 4. Assignment;
- 5. Recordation Form Cover Sheet;
- 6. Petition for Extension of Time (1 mo.);
- 7. Submission of Priority Document; and
- 8. Certified copy of Priority Document.

Although it is believed no additional fee is due for this filing, in the event that the Examiner determines an additional fee is due, the Commissioner is authorized to charge any deficiency, or credit any overpayment, to our deposit account, No. 02-0410 in the name of Baker & McKenzie. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Mitchell P. Brook Attorney for Applicant

Reg. No. 32,967

o BAKER & McKENZIE

101 West Broadway, 12th Floor San Diego, California 92101

(619) 236-1441

312 861 8937 TO 517037464060-701 P.05

Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

FRET NAMED APPLICANT ATTORNEY DOCKET NUMBER

Miguel Angel Fuenzelida Diez 06965-1001

08/03/2001

CONFIRMATION NO. 9018

PORMALITIES LETTER

BAKER & MCKENZJE 12th Floor 101 West Broadway San Diego, CA 92101

09/922 532

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been seconded to this application, The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filling a petition accompanied by the extension fee under the provisions of 37 CFR 1,136(a).

- The cath or declaration is missing.
 A properly signed eath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filling fee or eath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$85 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - OFFICE COPY

JUN 06 2002 16:37 FR BAKER & MCKENZIE 312 B61 B937 TO 517037464060-701 P.08 U.S. Department of Commerce Patent and Trademark Office FORM PTO-1619A PATERIA DEMARK OMB 0651-0027 RECORDATION FORM COVER SHEET PATENTS ONLY TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(les Conveyance Type Submission Type Security Agreement Assignment Resubmission (Non-Recordation) License Change of Name Document ID# Correction of PTO Error Other Merger Frame # Reel# U.S. Government (For Use ONLY by U.S. Government Agencies) Corrective Document Frame # Departmental File Secret File Reel# Mark if additional names of conveying parties attached Execution Date

Menth Day Year Conveying Party(ies) Name (line 1) SCHERSL, Endre Markovits 11232001 Name (line 2) Execution Date Month Day Year Second Party 11232001 Name (time 1) ROJAS, Alejandro Markovits Name (line 2) Receiving Party Mark If additional names of receiving parties attached If document to be recorded Name (line 1) THOMAS HARTING is an assignment and the receiving party is not domiciled in the United Name (line 2) States, an appointment of a domestic representative is attached. Address (line 1) Panamericana Norte 6000 (Designation must be a separate document from Address (line 2) Assignment) Address (line 3) Quilicura CHILE State/Country City Domestic Representative Name and Address Enter for the first Receiving Party only. Name Mitchell P. Brook, Esq. Address (line 1) Baker & McKenzie Address (line 2) 101 West Broadway, 12th Floor Address (line 3) San Diego, California 92101 Address (line 4) FOR OFFICE USE ONLY

Public burden reporting for this collection of infermission is estimated to everage approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and patienting the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information officer, Washington, D.C. 20231 and to the Office of Information and Regulatory, Affairs, Office of Minisport, Paperwork Reduction Project (6651-0027), Washington, D.C. 20503. See CMB Information Goldeston Burdget Package 0851-0027, Patent and Trademark Assignment Practice. Do NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mall docum into to be recorded with required cov in sheet(s) Information in to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

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FORM PTO-1619B Expires 06/30/89 OMB 0551-0027	Page 2	U.S. Department of Commune MAB Patent and Trademark Office PATENT
Correspondent Name and Address	Area Code and Telephone Number	619 236 1441
Name Mitchell P. Brook, Esq.		8 6
Address (line 1) Baker & McKenzie		
Address (time 2) 101 West Broadway, 12th Flo	ог	2
Address (line 3) San Diego, California 92101		2002
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Address (line	3) City State/Country Zip Cox	de
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Name (une	2)	receiving party is not domiciled in the United States, an appointment of a
Address (line	1)	domestic representative is attached. (Designation must be a separate document from
Address (line	2)	Assignment)
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